

STOCK TRANSFER FORM

UK UNIT TRUSTS AND OPEN-ENDED INVESTMENT COMPANIES

This form should be complete and signed, as necessary, and should be lodged with the Fund's Registrar.

Name of Fund and Share Class			
ISIN (if known)			
Number of units or shares to be transferred. If the entire holding is to be transferred, insert "ALL".	Words:	Figures:	
Full name(s) of registered unit/share holder(s), address of first registered holder and account designation (if any). If the transfer is not being made by the registered holder(s) insert also the name(s) and capacity (eg. Executor(s)), of the person(s) making the transfer.	1st holder name and address:	2nd holder name:	
		3rd holder name:	
		4th holder name:	
		Account designation	
<p>I/We hereby transfer the above units/shares out of the name(s) aforesaid to the person(s) named below and request that such entries be made in the register as are necessary give to effect to this transfer.</p> <p><input checked="" type="checkbox"/> I/We hereby certify, <u>if the box to the left is ticked</u>, that this transfer is not for consideration in money or money's worth and is exempt from SDRT by virtue of paragraph 6(2) of Schedule 19 to the Finance Act 1999.</p> <p><input type="checkbox"/> I/We hereby certify, <u>if the box to the left is ticked</u>, that this transfer is exempt from SDRT by virtue of paragraphs 6(3) - 6(5) of Schedule 19 to the Finance Act 1999 (charities, intra-group transfers etc.) and attach evidence as to facts of the transfer, which give rise to such exemption (Important: see "Stamp Duty Reserve Tax" below).</p> <p style="text-align: center;">Signature(s) of transferor(s):</p> <p>1</p> <p>2</p> <p>3</p> <p>4</p> <p style="text-align: right; font-size: 2em;">} DIRECTOR</p> <p>All transferors must sign. Bodies corporate should execute under their common seal, or otherwise as determined by their Memorandum and Articles of Association.</p>		<p>stamp of the institution lodging this form (if any):</p> <p style="text-align: center;">J BREARLEY & SONS LTD P O BOX 34 BLACKPOOL FY4 4WX</p> <p style="text-align: center;">TEL NO 0870 6088870</p> <p style="text-align: center;">MEMBERS OF THE STOCK EXCHANGE</p> <p>Date:</p>	
Full name(s), date(s) of birth and full postal address(es) (including postcode) of the person(s) to whom the security is transferred*, and any account designation. In each case please state title or salutation (eg. Mr., Mrs., Miss. etc.). Note that only the 1st holder's address will appear on the register of title. *Please see "Customer Due Diligence For Anti-Money Laundering Purposes" overleaf.	1st holder name and address:	2nd holder name and address:	
	Date of Birth:	Date of Birth:	
	3rd holder name and address:	4th holder name and address:	
	Date of Birth:	Date of Birth:	
	Account designation:		

STAMP DUTY RESERVE TAX

The declarations above will be used by the manager/authorised corporate director ("the Manager") of the unit trust/open-ended investment company ("the Fund") to determine that the transfer is exempt from stamp duty reserve tax ("SDRT") in accordance with Schedule 19 to the Finance Act 1999 ("the Act"). Tick one box and do so only if the corresponding statement is true and the transfer is exempt from SDRT for that reason. Leaving both boxes blank will indicate that the Fund is liable to SDRT on the transfer calculated in accordance with the Act, which the Manager has a right to recover from the parties to the transfer, before registering the change of title

